% AO 120 (Rev_2/99)

TO: Mail Stop 8 Director of the U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been on the following X Patents or ☐ Trademarks: filed in the U.S. District Court U.S. DISTRICT COURT DOCKET NO. CV 12-03293 NC 450 Golden Gate Avenue, 16th Floor San Francisco, CA 94102 6/28/12 DEFENDANT ALBERTA TELECOMMUNICATIONS CISCO SYSTEMS, INC. RESEARCH CENTRE PATENT OR DATE OF PATENT HOLDER OF PATENT OR TRADEMARK OR TRADEMARK TRADEMARK NO.

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In the above-entitled case, the following patent(s) have been included:

| DATE INCLUDED | INCLUDED BY | ent | ☐ Cross Bill | Other Pleading |
|----------------------------|--------------------------------|-------|-----------------|----------------|
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDI | ER OF PATENT OR | TRADEMARK |
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In the above-entitled case, the following decision has been rendered or judgement issued:

| CLERK | (BY) DEPUTY CLERK | DATE |
|--------------------|-------------------|--------------|
| Richard W. Wieking | Alfred Amistoso | July 2, 2012 |
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48. As a result of the acts described in the foregoing paragraphs, there exists a substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

COUNT V (NON-INFRINGEMENT OF THE '543 PATENT)

- 49. Cisco restates the allegations set forth in paragraphs 1 to 48 and incorporates them by reference as though set forth fully herein.
- 50. No claim of the '543 patent has been or is infringed, either directly or indirectly, by Cisco or its customers through their use of Cisco's products in their telecommunications networks.
- 51. As a result of the acts described in the foregoing paragraphs, there exists a substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

COUNT VI (INVALIDITY OF THE '543 PATENT)

- 52. Cisco restates the allegations set forth in paragraphs 1 to 51 and incorporates them by reference as though set forth fully herein.
- 53. The claims of the '543 patent are invalid for failure to comply with the requirements of the patent laws of the United States, including but not limited to the provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112.
- 54. As a result of the acts described in the foregoing paragraphs, there exists a substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

COUNT VII (NON-INFRINGEMENT OF THE '734 PATENT)

55. Cisco restates the allegations set forth in paragraphs 1 to 54 and incorporates them by reference as though set forth fully herein.

- 56. No claim of the '734 patent has been or is infringed, either directly or indirectly, by Cisco or its customers through their use of Cisco's products in their telecommunications networks.
- 57. As a result of the acts described in the foregoing paragraphs, there exists a substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

COUNT VIII (INVALIDITY OF THE '734 PATENT)

- 58. Cisco restates the allegations set forth in paragraphs 1 to 57 and incorporates them by reference as though set forth fully herein.
- 59. The claims of the '734 patent are invalid for failure to comply with the requirements of the patent laws of the United States, including but not limited to the provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112.
- 60. As a result of the acts described in the foregoing paragraphs, there exists a substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

COUNT IX (NON-INFRINGEMENT OF THE '349 PATENT)

- 61. Cisco restates the allegations set forth in paragraphs 1 to 60 and incorporates them by reference as though set forth fully herein.
- 62. No claim of the '349 patent has been or is infringed, either directly or indirectly, by Cisco or its customers through their use of Cisco's products in their telecommunications networks.
- 63. As a result of the acts described in the foregoing paragraphs, there exists a substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

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Cisco restates the allegations set forth in paragraphs 1 to 63 and incorporates them 64. by reference as though set forth fully herein.

The claims of the '349 patent are invalid for failure to comply with the 65 requirements of the patent laws of the United States, including but not limited to the provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112.

As a result of the acts described in the foregoing paragraphs, there exists a 66. substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

COUNT XI (NON-INFRINGEMENT OF THE '880 PATENT)

- Cisco restates the allegations set forth in paragraphs 1 to 66 and incorporates them 67. by reference as though set forth fully herein.
- No claim of the '880 patent has been or is infringed, either directly or indirectly, 68 by Cisco or its customers through their use of Cisco's products in their telecommunications networks.
- As a result of the acts described in the foregoing paragraphs, there exists a 69. substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

COUNT XII (INVALIDITY OF THE '880 PATENT)

- Cisco restates the allegations set forth in paragraphs 1 to 69 and incorporates them 70 by reference as though set forth fully herein.
- The claims of the '880 patent are invalid for failure to comply with the 71 requirements of the patent laws of the United States, including but not limited to the provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112.

26 27 28 72. As a result of the acts described in the foregoing paragraphs, there exists a substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

COUNT XIII (NON-INFRINGEMENT OF THE '059 PATENT)

- 73. Cisco restates the allegations set forth in paragraphs 1 to 72 and incorporates them by reference as though set forth fully herein.
- 74. No claim of the '059 patent has been or is infringed, either directly or indirectly, by Cisco or its customers through their use of Cisco's products in their telecommunications networks.
- 75. As a result of the acts described in the foregoing paragraphs, there exists a substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

COUNT XIV (INVALIDITY OF THE '059 PATENT)

- 76. Cisco restates the allegations set forth in paragraphs 1 to 75 and incorporates them by reference as though set forth fully herein.
 - 77. The claims of the '059 patent are invalid for failure to comply with the requirements of the patent laws of the United States, including but not limited to the provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112.
 - 78. As a result of the acts described in the foregoing paragraphs, there exists a substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

PRAYER FOR RELIEF

WHEREFORE, Plaintiffs pray that this Court grant the following relief:

 A declaration that Cisco and its customers have not infringed and are not infringing, either directly or indirectly, any claim of the '835, '505, '543, '734, '349, '880, and '059 patents;

| 1 | 2. A declaration that each claim of the '835, '505, '543, '734, '349, '880, and '059 |
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| 2 | patents is invalid; |
| 3 | An order that Defendants and each of their officers, employees, agents, attorneys, |
| 4 | and any persons in active concert or participation with them are restrained and enjoined from |
| 5 | further prosecuting or instituting any action against Cisco or its customers claiming that the '835, |
| 6 | '505, '543, '734, '349, '880, and '059 patents are infringed or from representing that Cisco's |
| 7 | products or their use in the networks operated by Cisco's customers infringe the '835, '505, '543, |
| 8 | '734, '349, '880, and '059 patents. |
| 9 | 4. A declaration that this case is exceptional under 35 U.S.C. § 285 and awarding |
| 10 | Cisco its attorneys' fees and costs in connection with this case; and |
| 11 | Such other relief as the Court deems appropriate. |
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| 13 | Respectfully submitted, |
| 14 | WAYNED CAME ED DIONEDING HALF AND |
| 15 | WILMER CUTLER PICKERING HALE AND DORR LLP |
| 16 | Marcha Kultu |
| 17 | Keith L. Slenkovich (CBN: 129793) |
| 18 | Jane Y. Huang (CBN: 267754) WILMER CUTLER PICKERING |
| 19 | HALE AND DORR LLP 950 Page Mill Road |
| 20 | Palo Alto, CA 94304 (650) 858-6000 |
| 21 | Attorneys for Plaintiff Cisco Systems, Inc. |
| 22 | June 26, 2012 |
| 23 | June 20, 2012 |
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DEMAND FOR JURY TRIAL

Plaintiffs demand a jury trial for all issues so triable.

Respectfully submitted,

WILMER CUTLER PICKERING HALE AND DORR LLP

Keith L. Slenkovich (CBN: 129793)

Jane Y. Huang (CBN: 267754) WILMER CUTLER PICKERING HALE AND DORR LLP 950 Page Mill Road Palo Alto, CA 94304 (650) 858-6000

Attorneys for Plaintiff Cisco Systems, Inc.

June 26, 2012

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E FINATE UNITED STATES DISTRICT COURT

CISCO SYSTEMS, INC.,

Plaintiff,

CV12-03293

C.A. No.

NC

ALBERTA TELECOMMUNICATIONS RESEARCH CENTRE d/b/a TR LABS and TR TECHNOLOGIES, INC.,

Defendants.

COMPLAINT FOR DECLARATORY JUDGMENT

JURY TRIAL DEMANDED

Plaintiff Cisco Systems, Inc. ("Cisco") by and through its attorneys brings this action for a declaratory judgment and alleges as follows:

NATURE OF THE ACTION

Cisco is a worldwide leader in the development of the networking equipment that underlies today's modern telecommunications infrastructure. Cisco's customers include leading telecommunications providers who have used Cisco's products to build a high speed, reliable network infrastructure for the delivery of voice and data content such as AT&T, Qwest (now CenturyLink), Windstream, Comcast, Sprint, Cox, tw telecom, and Level 3. Defendants Alberta Telecommunications Research Centre d/b/a TR Labs ("TR Labs") and TR Technologies, Inc.

Complaint for Declaratory Judgment

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27 28 seven of its patents through use of Cisco's products in their telecommunications networks. To date, TR Labs has sued at least six of Cisco's customers in actions currently pending in the District of New Jersey (C.A. No. 09-cv-3883) and the District of Colorado (C.A. No. 12-cv-581). In addition, Defendants have sent letters to other customers relating to their use of Cisco's products in their networks and threatening to file suit on the same patents. Defendants' allegations of infringement arising from the use of Cisco's products are unwarranted, and Cisco brings this declaratory judgment action to free its customers, its product lines, and itself from these unwarranted allegations of patent infringement.

PARTIES

- Plaintiff Cisco is a corporation organized and existing under the laws of the State 1 of California with its principal place of business at 170 West Tasman Drive, San Jose, California 95134.
- Upon information and belief, Defendant TR Labs is a research consortium 2. organized and existing under the laws of Canada, with its principal place of business at 9107 116th Street, Edmonton, Alberta, Canada.
- Upon information and belief, Defendant TR Tech is a corporation organized and 3 existing under the laws of Canada with its principal place of business at 9107 116th Street, Edmonton, Alberta, Canada.

FACTUAL BACKGROUND

Cisco's Products

- Cisco is a worldwide leader in the development of networking products. Cisco 4 provides its networking products to telecommunications customers who deploy them in their own networks.
- Cisco's product line includes its ONS 15454 multiservice platforms and CRS-1 5 routers

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- U.S. Patent No. 4,956,835 ("the '835 patent") is entitled "Method and apparatus for self-restoring and self-provisioning communication networks" and issued on September 11, 1990. A copy of the '835 patent is attached as Exhibit A.
- U.S. Patent No. 5,850,505 ("the '505 patent") is entitled "Method for 7. preconfiguring a network to withstand anticipated failures" and issued on December 15, 1998. A copy of the '505 patent is attached as Exhibit B.
- U.S. Patent No. 6,377,543 ("the '543 patent") is entitled "Path restoration of networks" and issued on April 23, 2002. A copy of the '543 patent is attached as Exhibit C.
- U.S. Patent No. 6,404,734 ("the '734 patent") is entitled "Scalable network restoration device" and issued on June 11, 2002. A copy of the '734 patent is attached as Exhibit
- U.S. Patent No. 6,421,349 ("the '349 patent") is entitled "Distributed 10. preconfiguration of spare capacity in closed paths for network restoration" and issued on July 16, 2002. A copy of the '349 patent is attached as Exhibit E.
- U.S. Patent No. 6,914,880 ("the '880 patent") is entitled "Protection of routers in 11. a telecommunications network" and issued on July 5, 2005. A copy of the '880 patent is attached as Exhibit F.
- U.S. Patent No. 7,260,059 ("the '059 patent") is entitled "Evolution of a 12. telecommunications network from ring to mesh structure" and issued on August 21, 2007. A copy of the '059 patent is attached as Exhibit G.
- TR Labs purports to be the owner by assignment of the '835, '505, '543, '734 '349, '880, and '059 patents.
- TR Tech purports to be the exclusive licensee of the '835, '505, '543, '734 '349, 14 '880, and '059 patents.

Complaint for Declaratory Judgment

Upon information and belief, TR Labs does not commercialize any products or

Upon information and belief, TR Tech does not commercialize any products or

On August 5, 2009, TR Labs sued AT&T Corp. ("AT&T") in the District of New

services embodying the '835, '505, '543, '734 '349, '880, and '059 patents.

services embodying the '835, '505, '543, '734 '349, '880, and '059 patents.

Actual and Threatened Litigation Involving Defendants' Patents

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AT&T Litigation

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 Company, LLC, "Qwest"), Windstream Corp. ("Windstream"), Sprint Nextel Corp. ("Sprint"), Comcast Corp. ("Comcast"), and Cox Communications, Inc. ("Cox").

- 24. On June 20, 2012, Defendants filed a motion for leave to file a second amended complaint in the Colorado case. Defendants' proposed second amended complaint adds TR Tech as a plaintiff and alleges infringement of the patents-in-suit by two additional defendants, tw telecom, inc. ("tw telecom") and Level 3 Communications, Inc. ("Level 3").
- 25. Defendants' proposed second amended complaint in the Colorado case alleges that Qwest, Windstream, Sprint, Comcast, Cox, tw telecom, and Level 3 "have deployed at least Cisco ONS 15454 Multiservice platforms . . . and/or Cisco CRS-1 routers" in their telecommunications networks to "utilize the functionality of the aforementioned devices" in a manner that infringes the '835, '505, '543, '734 '349, '880, and/or '059 patents. Cisco denies that its products—or their use in Cisco's customers networks—infringes the '835, '505, '543, '734 '349, '880, and/or '059 patents.

Threatened Litigation

- 26. TR Labs sent letters in March 2012 to several of Cisco's other customers alleging that their telecommunications networks infringe TR Labs's patents, including US Signal Company LLC.
- 27. Upon information and belief, TR Labs has provided claim charts to some of Cisco's customers alleging that the use of Cisco's products in its customers' telecommunications infringes the '835, '505, '543, '734 '349, '880, and/or '059 patents. Cisco denies that its products—or their use in Cisco's customers' networks—infringes the '835, '505, '543, '734, '349, '880, and/or '059 patents.

JURISDICTION AND VENUE

Subject Matter Jurisdiction

28. This Court has subject matter jurisdiction over Cisco's request for a declaratory judgment under 28 U.S.C. §§ 2201 and 2202. This action arises under the patent laws of the

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United States, 35 U.S.C. §§ 100 et seq., which are within the subject matter jurisdiction of this Court under 28 U.S.C. §§ 1331 and 1338(a).

29. Defendants' actual and threatened litigation alleging infringement of the '835, '505, '543, '734 '349, '880, and '059 patents by the use of Cisco's products in its customers' telecommunications networks has created an actual and justiciable controversy as to the noninfringement and invalidity of those patents.

30. Defendants' infringement allegations threaten actual and imminent injury to Cisco that can be redressed by judicial relief and that injury is of sufficient immediacy and reality to warrant the issuance of a declaratory judgment. Absent a declaration of noninfringement and/or invalidity, Defendants' continued wrongful assertions of infringement through the use of Cisco's products will cause Cisco irreparable harm.

Personal Jurisdiction

- 31. Defendants are subject to general and specific personal jurisdiction in this judicial district based upon their purposeful, systematic, and continuous contacts with California.
- 32. For example, Defendants have purposefully availed themselves of benefits and protection offered by courts located in the State of California. TR Labs has brought suit for patent infringement in this district no fewer than five times during the past five years: Alberta Telecommunications Research Centre v. Texas Instruments, Inc., C.A. No. 09-cv-4548 (N.D. Cal.) (filed Sept. 25, 2009); Alberta Telecommunications Research Centre v. Dell Inc., C.A. No. 09-cv-3400 (N.D. Cal.) (filed July 24, 2009); Alberta Telecommunications Research Centre v. Axiom Memory Solutions, et al., C.A. No. 09-cv-729 (N.D. Cal.) (filed Feb. 18, 2009); Alberta Telecommunications Research Centre v. Sony Corp., et al., C.A. No. 08-cv-3408 (N.D. Cal.) (filed July 15, 2008); Alberta Telecommunications Research Centre v. Samsung Electronics Co., et al., C.A. No. 07-cv-2416 (N.D. Cal.) (filed May 4, 2007).
 - Upon information and belief, Defendants maintain a website at
 http://www.trlabs.ca/trlabs/, which is accessible to residents of the State of California and in this

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district. Defendants solicit membership in their organization and offer to license their patents on their website

- 34. Upon information and belief, Defendants maintain significant relationships with companies based in California. For example, an article dated March 1, 2012 posted on Defendants' website touts as a "success story" their "very broad and supportive relationship" with Starscriber Corporation, a company based in California. See http://www.trlabs.ca/trlabs/about/successstories/paststories/03012012.html.
- 35. Upon information and belief, Defendants have licensed or have offered to license their patents to other companies based in California or doing business in California, including several of the companies that they sued for patent infringement in this district. See http://www.trlabs.ca/trlabs/about/mediaroom/news/08062009.html.

12 Venue

36. Venue is proper in this Court under 28 U.S.C. § 1391 because a substantial part of the events giving rise to the claims at issue occurred in this judicial district and because Defendants are subject to personal jurisdiction within this judicial district.

COUNT I (NON-INFRINGEMENT OF THE '835 PATENT)

- 37. Cisco restates the allegations set forth in paragraphs 1 to 36 and incorporates them by reference as though set forth fully herein.
- 38. No claim of the '835 patent has been or is infringed, either directly or indirectly, by Cisco or its customers through their use of Cisco's products in their telecommunications networks.
 - 39. As a result of the acts described in the foregoing paragraphs, there exists a substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

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Cisco restates the allegations set forth in paragraphs 1 to 39 and incorporates them 40. by reference as though set forth fully herein.

- The claims of the '835 patent are invalid for failure to comply with the requirements of the patent laws of the United States, including but not limited to the provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112.
- As a result of the acts described in the foregoing paragraphs, there exists a 42 substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

COUNT III (NON-INFRINGEMENT OF THE '505 PATENT)

- Cisco restates the allegations set forth in paragraphs 1 to 42 and incorporates them 43. by reference as though set forth fully herein.
- No claim of the '505 patent has been or is infringed, either directly or indirectly, 44 by Cisco or its customers through their use of Cisco's products in their telecommunications networks.
- As a result of the acts described in the foregoing paragraphs, there exists a 45. substantial controversy of sufficient immediacy and reality to warrant the issuance of a declaratory judgment.

COUNT IV (INVALIDITY OF THE '505 PATENT)

- Cisco restates the allegations set forth in paragraphs 1 to 45 and incorporates them 46 by reference as though set forth fully herein.
- The claims of the '505 patent are invalid for failure to comply with the 47 requirements of the patent laws of the United States, including but not limited to the provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112.